




Investment Advisor's Name & Code	Sub-Broker's Name & Code	EUIN	FOLIO NO.	DATE
ARN-3086				DD / MM / YYYY

"I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this is an "execution-only" transaction without any interaction or advice by the employee/relationship manager/sales person of the above distributor or notwithstanding the advice of inappropriateness, if any, provided by the employee/relationship manager/sales person of the distributor and the distributor has not charged any advisory fees on this transaction".

**SIGNATURE(S)**

 Sole/First Holder       Second Holder       Third Holder

(To be signed by **All Unitholders** if modr of operation is 'Joint')

Upfront commission shall be paid directly by the investor to the AMFI registered distributors based on the investor's assessment of various factors including the service rendered by the distributor.

NAME OF SOLE/ FIRST HOLDER : \_\_\_\_\_


NAME OF SECOND HOLDER : \_\_\_\_\_

NAME OF THIRD HOLDER : \_\_\_\_\_

PAN	Sole / First Holder	Second Holder	Third Holder
Enclosed (Please ✓)	<input type="checkbox"/> PAN Proof#    KYC Compliance Status* <input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> PAN Proof#    KYC Compliance Status* <input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> PAN Proof#    KYC Compliance Status* <input type="checkbox"/> Yes <input type="checkbox"/> No

(# Please attach PAN card copy) / (\* KYC allotment letter copy is mandatory)

**PURCHASE** Refer Checklist I


Scheme \_\_\_\_\_ Option (Please ✓)  Dividend   Payout OR  Reinvestment  
 Plan \_\_\_\_\_ Dividend Frequency \_\_\_\_\_  
 Growth  Bonus

Investment Mode  Cheque / DD / Pay order  RTGS/NEFT/Fund Transfer/ Online Transfer


Investment : Rs. \_\_\_\_\_ Chq./ DD No. \_\_\_\_\_ dated DD / MM / YYYY  
 drawn on \_\_\_\_\_ Name of Bank \_\_\_\_\_ Branch \_\_\_\_\_ City \_\_\_\_\_  
 (Please mention your folio on the face of your investment cheque)


We hereby confirm having initiated the Transfer/RTGS for transfer of Rs. \_\_\_\_\_ from our account no. \_\_\_\_\_  
 with \_\_\_\_\_ Bank to your account no. \_\_\_\_\_ with \_\_\_\_\_ Bank.

**REDEMPTION** Refer Checklist II

Scheme \_\_\_\_\_ Plan \_\_\_\_\_ Option (Please ✓)  Dividend   Payout OR  Reinvestment  
 Amount (Rs.) \_\_\_\_\_ OR No. Of Units \_\_\_\_\_ OR All Units (✓) \_\_\_\_\_  
 Dividend Frequency \_\_\_\_\_  
 Growth  Bonus

**SWITCH** Refer Checklist III

From: Scheme \_\_\_\_\_ Plan \_\_\_\_\_ Present Option (Please ✓)  Dividend   Payout OR  Reinvestment  
 Dividend Frequency \_\_\_\_\_  
 Growth  Bonus

To: Scheme \_\_\_\_\_ Plan \_\_\_\_\_ Required Option (Please ✓)  Dividend   Payout OR  Reinvestment  
 Amount (Rs.) \_\_\_\_\_ OR No. Of Units \_\_\_\_\_ OR All Units (✓) \_\_\_\_\_  
 Dividend Frequency \_\_\_\_\_  
 Growth  Bonus

**For investors who have REGISTERED FOR MULTIPLE BANK ACCOUNTS FACILITY in the above folio**




The redemption should be processed into the following bank account as per the payout mechanism indicated by me/us:

Name of Bank \_\_\_\_\_ Bank A/c No. \_\_\_\_\_  
 Branch \_\_\_\_\_ Bank City \_\_\_\_\_

Important Note: If the bank account mentioned above is different from those already registered in your folio, prescribed supporting documents have to be submitted. If bank account details are not filled above OR incorrect/incomplete supporting documents are submitted for a new bank account, the redemption will be processed into the "Default" bank account registered for the aforesaid folio. Kotak Mutual Fund or Kotak Mahindra Asset Management Company Ltd. will not be liable for any loss arising to the unitholder(s) due to the credit of redemption proceeds into any of the bank accounts registered with us for the aforesaid folio.

**Declaration: I/We have read and understood the contents of the Statement of Additional Information/ Scheme Information Document/ offer Document(s). I/We have neither received nor been induced by any rebate or gifts, directly or indirectly in executing this transaction.**

**SIGNATURE(S)**

 Sole/First Holder       Second Holder       Third Holder

(To be signed by **All Unitholders** if modr of operation is 'Joint')

# KOTAK MAHINDRA MUTUAL FUND

6th Floor, Kotak Infiniti, Building No. 21, Infinity Park, Off W. E. Highway, Gen. A. K. Vaidya Marg, Malad (East), Mumbai – 400097

[www.kotakmutual.com](http://www.kotakmutual.com)

1800-222-626 or (022) 6638 4400

[mutual@kotak.com](mailto:mutual@kotak.com)

**This Account Statement is a record of your transaction(s) and unit balances in the schemes of Kotak Mahindra Mutual Fund. This is not a document of title and is not transferable. If you find any discrepancy in your Account Statement, please bring it to our notice within 30 days.**

	Scheme	Plan	Options**	Dividend Frequency*	Initial Investment / Min. Balance	Min Addl. Investment	Cheque(s)/ DD(s) to be drawn in favor of	Min. Redemption
SCHEMES - SNAPSHOT	Kotak 50	-	DP, DR & G	Trustee's Discretion	Rs. 5,000	Rs. 1,000	Kotak 50	Rs. 1,000/- or 100 units for all schemes
	Kotak Balance	-	DP & DR	Half yearly	Rs. 5,000	Rs. 1,000	Kotak Balance	
	Kotak Bond	Plan A	DP, DR, G & B	Quarterly, Annual	Rs. 5,000	Rs. 1,000	Kotak Bond	
	Kotak Bond Short Term	-	DP, DR & G	Monthly	Rs. 5,000 (Rs. 50,000 - Monthly DP)	Rs. 1,000	Kotak Bond Short Term	
	Kotak Classic Equity	-	DP, DR & G	Trustee's Discretion	Rs. 5,000	Rs. 1,000	Kotak Contra	
	Kotak Equity Arbitrage Fund	-	DP, DR & G	Monthly	Rs. 5,000	Rs. 1,000	Kotak Equity Arbitrage	
	Kotak Equity FOF	-	DP, DR & G	Trustee's Discretion	Rs. 5,000	Rs. 1,000	Kotak Equity FOF	
	Kotak Flexi Debt	Plan A	DP, DR & G (DP only Quarterly)	Daily, Weekly, Quarterly	Rs. 5,000	Rs. 1,000	Kotak Flexi Debt	
	Kotak Floater Long Term	-	DP, DR & G (DP only Weekly)	Daily, Weekly, Monthly	Rs. 5,000 (Rs. 1,00,00,000 - Weekly DP)	Rs. 1,000	Kotak Floater Long Term	
	Kotak Floater Short Term	-	DR & G	Daily, Weekly, Monthly	Rs. 5,000 (Rs. 1,00,000 - Daily DR)	Rs. 1,000	Kotak Floater Short Term	
	Kotak Gilt Investment	PF & Trust	DP, DR & G	Quarterly	Rs. 5,000	Rs. 1,000	Kotak Gilt Investment	
	Kotak Gilt Investment	Regular	DP, DR & G	Quarterly	Rs. 5,000	Rs. 1,000	Kotak Gilt Investment	
	Kotak Gilt Savings	-	DP, DR & G	Monthly, Annual	Rs. 5,000 (Rs. 50,000 - Monthly DP)	Rs. 1,000	Kotak Gilt Saving	
	Kotak Monthly Income Plan	-	DP, DR & G	Monthly, Quarterly	Rs. 5,000 (Rs. 50,000 - Monthly DP)	Rs. 1,000	Kotak Monthly Income Plan	
	Kotak Liquid	Plan A	DP, DR & G (DP only Weekly)	Daily, Weekly	Rs. 5,000	Rs. 1,000	Kotak Liquid	
	Kotak Mid-Cap	-	DP, DR & G	Trustee's Discretion	Rs. 5,000	Rs. 1,000	Kotak Mid-Cap	
	Kotak Opportunities	-	DP, DR & G	Trustee's Discretion	Rs. 5,000	Rs. 1,000	Kotak Opportunities	
	Kotak Tax-Saver	-	DP, DR & G	Trustee's Discretion	Rs. 500 & in multiples of Rs. 500	Rs. 500 & in multiples of Rs. 500	Kotak Tax-Saver	
	Kotak Select Focus Fund	-	DP, DR & G	Trustee's Discretion	Rs. 5,000	Rs. 1,000	Kotak Select Focus Fund	
	Kotak Emerging Equity	-	DP, DR & G	Trustee's Discretion	Rs. 5,000	Rs. 1,000	Kotak Emerging Equity	
Kotak Global Emerging Market Fund	-	DP, DR & G	Trustee's Discretion	Rs. 5,000	Rs. 1,000	Kotak Global Emerging Market Fund		
Kotak Gold Fund	-	DP, DR & G	Trustee's Discretion	Rs. 5,000	Rs. 1,000	Kotak Gold Fund		
Kotak Income Opportunities Fund	-	DP, DR & G	Weekly, Monthly, Quarterly, Annual	Rs. 5,000	Rs. 1,000	Kotak Credit Opportunities Fund		
Kotak Multi Asset Allocation Fund	-	DP, DR & G	Monthly, Quarterly, Annual	Rs. 10,000	Rs. 1,000	Kotak Multi Asset Allocation Fund		

\*\*• DP - Dividend Payout; • DR - Dividend Reinvestment; • G - Growth; • B - Bonus.  
\* Dividend distribution subject to availability & adequacy of distributor surplus

### INSTRUCTIONS & INFORMATION:

- Before investing please refer the SAI/SID/ Offer Document of the respective scheme(s)
- PERMANENT ACCOUNT NUMBER (PAN) (MANDATORY):**  
With effect from January 1, 2009, it is mandatory for all existing and new investors (including joint holders, guardians of minors and NRIs) to enclose a copy of PAN card to the application for investing in mutual fund Schemes.
- Know Your Client (KYC):**  
With reference to SEBI Circular MIRS/D/Cir-26/2011 dated December 23, 2011, investors may kindly note w.e.f. January 1, 2012, it is mandatory for all individual/ non individual investors to be KYC Compliant. Investors can approach any SEBI registered KRA for doing KYC.  
In the event of KYC Form being subsequently rejected for lack of information/ deficiency/insufficiency of mandatory documentation, the investment transaction will be cancelled and the amount may be redeemed at applicable NAV, subject to payment of exit load, wherever applicable.
- REDEMPTION/ SWITCH OUT OF UNITS WHERE THERE IS A LIEN MARKED ON UNITS:**  
If a lien has been marked on your units, please furnish along with your redemption/ switch out request, a 'Release of Lien' letter from the financier/ other person in whose favor the lien has been marked.
- DIRECT CREDIT FACILITY:**  
We shall directly credit your dividend/redemption payments into your bank account if your bank is included in bank list with which we have tie-up for direct credit facility.  
**Note:** Investor can register multiple bank account by submitting bank registration form, please read the scheme information document of the respective scheme.
- CHANGE IN AUTHORISED SIGNATURES:** If there is a change in the list of Authorised Signatories since the date of your purchase/ switch in and the same has not been intimated to us, please enclose a certified copy of your latest Board Resolution and Authorised Signatories List with your transaction request.
- EMPLOYEE UNIQUE IDENTIFICATION NUMBER (EUN):** SEBI has made it compulsory for every employee/relationship manager/ sales person of the distributor of mutual fund products to quote the EUN obtained by him/her from AMFI in the Application Form. EUN would assist in addressing any instance of mis-selling even if the employee/relationship manager/sales person later leaves the employment of the distributor. Hence, if your investments are routed through a distributor please ensure that the EUN is correctly filled up in the Application Form.

However, if your distributor has not given you any advice pertaining to the investment, the EUN box may be left blank. In this case you are required to provide the declaration to this effect as given in the form.

### CHECKLIST I (FOR PURCHASE)

- Is the Purchase amount  $\geq$  Minimum Investment / Minimum Additional Investment amount?
- Have the following particulars been clearly filled in the Purchase / Additional Purchase Request?
  - Scheme, Plan & Option.
  - If Dividend Option is chosen, the choice between Payout or Re-investment of Dividend.
  - If Dividend Option is chosen, the Dividend Frequency sought.
  - Investment Amount. Does this match with the Cheque/DD Amount?
  - Instrument Details (Cheque DD No., Date of Instrument, Drawee Bank & Branch)
- Permanent Account Number (PAN) of all Applicants is mentioned and Acknowledgment copy of the KYC is enclosed.
- Is the investment Cheque / Demand Draft drawn in favour of Scheme / Plan and payable at the location where this request is being submitted?

### CHECKLIST II (FOR REDEMPTION)

- Is the redemption for an amount  $\geq$  minimum redemption size (100 unit or Rs. 1,000/-)?
- Have the following been indicated clearly in the redemption request?
  - Scheme, Plan & Option from which the redemption is required.
  - The amount or the number of units to be redeemed. **(For entire unit balance redemption in a scheme, please tick the 'All Unit' box in the redemption panel. Units or Amount need not be indicated in this case)**
- If the redemption payout is required in a different bank account or in a different mode/ mechanism, has the same been indicated. In the absence of any indication, redemption proceeds would be paid out in the Bank Account registered in the folio against the respective scheme. In case of multiple bank mandate, redemption proceed shall be paid in the default bank account.

### CHECKLIST III (FOR SWITCH)

- Is the present value of investment in the scheme from which the switch out is requested  $\geq$  to the minimum investment / minimum addition investment requirement of the scheme to which the investment is proposed to be switched in?
- Have the following been indicated clearly in the switch request?
  - Scheme, Plan & Option from which the redemption to be switched out.
  - Scheme, Plan & Option to which the investment to be switched in.
  - If Dividend Option is chosen for the scheme in which the investment is being switched in the choice between Payout or Re-investment of dividend and the Dividend frequency.
- Permanent Account Number (PAN) of all Applicants is mentioned and Acknowledgment copy of the KYC is enclosed.

**REGISTRAR : COMPUTER AGE MANAGEMENT SERVICES PVT. LTD. 148, Old Mahabalipuram Road, Okkiyam Thuraipakkam, Chennai - 600 096.**

### CAMS INVESTOR SERVICE CENTERS

**Ahmedabad:** 402-406, 4th Floor, Devpath Building, Off C G Road, Behind Lal Bungalov, Ellis Bridge, Ahmedabad - 380006. **Bangalore:** Trade Centre, 1st Floor, 45, Dikensen Road, (Next to Manipal Centre ), Bangalore - 560042. **Bhubaneswar:** 3rd Floor, Plot No - 111, Varaha Complex Building, Station Square, Khaveli Nagar, Unit 3, Bhubaneswar - 751001. **Chandigarh:** Deepak Tower, SCO 154-155, 1st Floor, Sector 17-C, Chandigarh - 160017. **Chennai:** Ground Floor No.178/10, Kodambakkam High Road, Opp. Hotel Palmgrove, Nungambakkam, Chennai - 600034. **Cochin:** Ittoop's Imperial Trade Center, Door No. 64/5871 - D, 3rd Floor, M. G. Road (North), Cochin - 682035. **Coimbatore:** Ground Floor, Old No. 66 New No. 86, Lokamanya Street (West), R.S.Puram, Coimbatore - 641002. **Durgapur:** 3rd Floor, City Plaza Building, City Centre, Durgapur - 713 216. **Goa:** No.108, 1st Floor, Gurudutta Bldg, Above Weekender, M G Road, Panaji, Goa - 403001. **Hyderabad:** 208, 2nd Floor, Jade Arcade, Paradise Circle, Secunderabad - 500003. **Indore:** 101, Shalimar Corporate Centre, 8-B, South tukogunj, Opp. Greenpark, Indore - 452001. **Jaipur:** R-7, Yudhisthir Marg, C-Scheme, Behind Ashok Nagar Police Station, 63/2, The Mall, Jaipur - 302001. **Kanpur:** 1st Floor 106 to 108, CITY CENTRE Phase-II, Kanpur - 208001. **Kolkata:** Saket Building, 44 Park Street, 2nd Floor, Kolkata - 700016. **Lucknow:** Off No 4, 1st Floor, Centre Court Building, 3/c, 5 - Park Road, Hazratganj, Lucknow - 226001. **Ludhiana:** U/ GF, Prince Market, Green Field, Near Traffic Lights, Sarabha Nagar Pulli, Pakhowal Road, Ludhiana - 141002. **Madurai:** 1st Floor, 278, North Perumal Maistry Street (Nadar Lane), Madurai - 625 001. **Mangalore:** No. G 4 & G 5, Inland Monarch, Opp. Karnataka Bank, Kadri Main Road, Kadri, Mangalore - 575003. **Mumbai:** Rajabhadur Compound, Ground Floor, Opp Allahabad Bank, Behind ICICI Bank, 30, Mumbai Samachar Marg, Fort, Mumbai - 400023. **Nagpur:** 145 Lendra, New Ramdaspath, Nagpur - 440010. **New Delhi:** 7-E, 4th Floor, Deen Dayal Research Institute Building, Swami Ram Tirath Nagar, Near Videocon Tower, Jhandewalan Extension, New Delhi - 110055. **Patna:** G-3, Ground Floor, Om Vihar Complex, SP Verma Road, Patna - 800001. **Pune:** Nirmiti Eminence, Off No. 6, 1st Floor, Opp Abhishek Hotel Mehendale Garage Road, Erandawane, Pune - 411004. **Surat:** Plot No.629, 2nd Floor, Office No.2-C/2-D, Mansukhlal Tower, Beside Seventh Day Hospital, Opp.Dhiraj Sons, Athwalines, Surat - 395001. **Vadodara:** 103 Aries Complex, BPC Road, Off R.C. Dutt Road, Alkapuri, Vadodara - 390007. **Vijayawada:** 40-1-68, Rao & Ratnam Complex, Near Chennupati Petrol Pump, M.G Road, Labbipet, Vijayawada - 520010. **Visakhapatnam:** 47/9 / 17, 1st Floor, 3rd Lane, Dwaraka Nagar, Visakhapatnam - 530016.